

FEDERAL ASSISTANCE AWARD DATA SYSTEM (FAADS)

USERS' GUIDE

FOR

FISCAL YEAR 1998

Office of Management and Budget

U.S. Department of Commerce
Bureau of the Census
(Executive Agent)

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INTRODUCTION

This guide contains a detailed description of the Federal Assistance Award Data System (FAADS). In addition to information on diskette format, Internet availability and access, records, and data elements, we have included important information on program coverage, system limitations, **revisions to the record layout**, and other user precautions. *Please read this guide prior to using FAADS.*

The Bureau of the Census serves as the executive agent responsible for operating FAADS. Policy oversight is provided by the Office of Management and Budget. We invite your feedback and suggestions for improving FAADS. If you have any questions or comments, please contact the Federal Programs Branch at the address and telephone number listed below.

Federal Programs Branch
Governments Division
Bureau of the Census
Washington, DC 20233-6800

Telephone Number: (301) 457-1565
Facsimile Number: (301) 457-1540

Email: faads@census.gov

GENERAL DESCRIPTION

Background

FAADS is required by Title 31, Section 6102(a) of the United States Code. The first FAADS report was issued in February 1981, covering assistance award actions during the first quarter of Federal fiscal year 1981 (October 1 to December 31, 1980).

FAADS is a central collection of selected, computer-based data on Federal financial assistance award transactions, compiled quarterly. Each standard record is identified as being one of two possible types: county aggregate and action-by-action. Each action-by-action record contains such items as the name and location of the recipient (but not the address), the amount of awarded or amended Federal assistance (usually on the basis of the obligated amount), the program under which the award was made, and a project description. For some programs, primarily transfer payments to individuals and large volume loan programs for individual home ownership, data in the record are presented as aggregate amounts for all recipients in each county area. Such aggregate records do not contain all the data elements specified in the standardized format. The record format, which begins on page 8, indicates which items are available in each type of record.

Coverage

All departments and major agencies of the executive branch of the Federal Government with grant making authority are now reporting to FAADS. Appendix A lists selected agencies providing data to FAADS, as well as those smaller Federal agencies identified as providing assistance but not yet reporting. For the fourth quarter of fiscal year 1997, 34 agencies were included in FAADS, although some of the very smallest agencies report only once a year. During the fourth quarter of fiscal year 1998 we hope to be able to obtain data from several additional agencies.

Reporting covers approximately 600 Federal assistance programs. While primarily concerned with assistance to State and local governments, all major programs providing transfer payments to individuals, loans, or insurance are also covered. The long-term objective is to include in FAADS all Federal financial assistance programs. This includes all assistance programs listed in the *Catalog of Federal Domestic Assistance* (CFDA) as well as financial assistance awards made by Federal agencies but not included in the CFDA.

Relationship to Other Systems/Processes

Various sections of the United States Code require Federal agencies to provide fiscal, budgetary, and program-related information to State and local governments and to the Congress. FAADS assists Federal agencies in complying with applicable sections of the Code, and promotes the government's effort to provide access to information on Federal assistance activities. Other related efforts include the *Catalog of Federal Domestic Assistance* (CFDA), Federal Assistance Programs Retrieval System (FAPRS), and the *Budget Information for States* (BIS) report.

The CFDA and its automated companion, FAPRS, present a summary of Federal domestic assistance programs available from Federal executive branch agencies. In addition to program description and other information, the CFDA provides data on Federal funding for past and current budget years.

The BIS reports estimates of potential State allocations of Federal formula grant funds from Federal executive branch agencies for the budget year, as well as the past and current years.

The Consolidated Federal Funds Report publishes data on Federal Government expenditures on a geographic basis. These annual reports are developed from existing data sources, including FAADS, within the Federal Government. Coverage includes grants and payments to State and local governments, salaries and wages of Federal employees, Federal Government procurement awards, and direct Federal payments for individuals.

Executive Order 12372 outlines the Federal Government's system of consultation with the States on applications for grants, direct development, and other forms of financial assistance. The States, in consultation with their local governments, design their own process of review for applications of Federal financial assistance, and determine which programs are subject to review. The FAADS helps the States in this review process through the inclusion of the State Application Identifier (SAI) number in field two in the FAADS records. The SAI number is assigned by State review agencies during the grant application process. However, not every agency incorporates the SAI number into the internal data file(s) used to generate the records submitted for FAADS processing.

Users need to be aware of the commonalities and differences among the various reports mentioned above. While each report serves a specific purpose, knowledge about the relationships among the reports, the President's budget, and the funds made available through Congressional enactment of appropriations plays a vital part in understanding the nature of the allocation and distribution of Federal funds. Due to differences in definitions or reporting bases (such as obligations vs. expenditures, or fiscal year vs. calendar year vs. program year), users are cautioned that amounts appearing in one report may not match amounts found in other reports.

System Limitations

FAADS is a quarterly compilation of Federal assistance actions. No summation, consolidation, or other linkage with previous or future quarterly data is provided to users. Because each quarterly report stands on its own, it is necessary for users to refer to previous and future quarterly data to obtain a complete picture of assistance actions. The series of FAADS data files provides a basic data source for analysis of Federal assistance awards by those who wish to apply their own data evaluation programming. FAADS is **not** a "database" to be queried. It is a sequential file that can only be "read" by a custom-written computer program. Efforts are underway to convert to CD-ROM all prior FAADS files originally produced and maintained on mainframe computer tapes. To obtain historic data, contact the Federal Programs Branch (See page 1).

FAADS is **not** an accounting system. The funds reported in FAADS may differ from those reported in various accounting or finance systems. Some differences are due to agency reporting practices, while others result from differences in effective reporting dates or interpretations of definitions and requirements.

Reporting in FAADS is based on the geographic location of the **initial** recipient. This may be different from the location of the funded project and could also be different from the location of secondary recipients or the prime beneficiaries. Information on project location has been provided for each transaction record since 1983.

Another caution is that funding amounts for loan guarantees, insurance, and other forms of contingent liabilities do not in all cases represent an actual flow of Federal funds. The user needs to understand the type of financial assistance (e.g., grant, cooperative agreement, direct loan) provided by a specific Federal award so that the amount of Federal funding reported is not misinterpreted.

DATA AVAILABILITY

Data are submitted quarterly by the Federal agencies. These data are then compiled on a government-wide basis for OMB by its executive agent, the Bureau of the Census. Agency submissions are due within 30 days after the end of the quarter. Submissions that fail to meet the reporting deadline are not integrated into FAADS until the following quarter.

FAADS data for the most recent quarter and the most recently completed fiscal year are available at the Bureau of the Census' web site, www.census.gov. Anyone wanting access to historic FAADS data beyond those data files available on the Internet, should contact the Federal Programs Branch at the address and telephone number listed on page 1. Users of FAADS data for fiscal years prior to 1998 are reminded that the record layout is different from the one shown in this manual. Interested users should consult Appendix C for a cross-walk between both the "old" and the "new" record layout. FAADS data files for Fiscal Year 1998 and subsequent years are in compliance with the requirements of the Year 2000 directives and mandates, in that all dates have been extended to contain four digits to identify the year.

U.S. Congress

Complete data files are provided quarterly to the U.S. Congress. The servicing agencies are:

House Information Resources
Ford House Office Building
Sixth Floor
Washington, DC 20515-6165

Telephone: (202) 226-6438

U.S. Senate Computer Center
Postal Square Building
2 Massachusetts Avenue, NE
Washington, DC 20510-7202

Telephone: (202) 224-3976

Congressional members or their staff can obtain FAADS information directly from these servicing agencies. If additional information is needed, congressional staff should directly contact the Federal Programs Branch for assistance (see page 1).

The general public cannot obtain access to the FAADS information through servicing agencies of the U.S. Congress.

Federal Agencies

Many Federal agencies have found uses for the FAADS quarterly files, or some variation thereof. Inquiries should be made directly to the Bureau of the Census, Governments Division, Federal Programs Branch (see page 1).

State and Territorial Governments

Complete data files are provided to the State and Territorial governments. Each State or Territory can request the data file in electronic form (diskettes, Internet, or CD-ROM), or print image form on diskettes. Hard copy of the data are no longer provided. Specific arrangements can be made with the FAADS staff for electronic (Internet) transmission to a State government office.

Each State and Territory is encouraged to share the FAADS information with its political subdivisions and the general public.

General Public

The general public can obtain FAADS files, on a fee basis, for fiscal years prior to 1997 on magnetic tape from:

Administrative and Customer Services Division (ACSD)
Bureau of the Census
Washington, DC 20233-1900

Telephone: (202) 457-4100

National Archives and Records Administration (NARA)
Center for Electronic Records
National Archives at College Park
8601 Adelphi Road
College Park, MD 20740-6001

Telephone: (301) 713-6645

FAADS data files for fiscal year 1997 are available, and data files for future quarters will be available, on a fee basis on CD-ROM from the National Archives and Records Administration (see above address and telephone number). The data files available from NARA and the Census Bureau's Customer Services Office (see address and telephone number listed above), are "national" in scope and contents. Data files for individual states or territories are only available on the Internet. Users of the CD-ROM must obtain the "national" quarterly file and separate out records for individual geo-political entities. Recently NARA has started to make data files available on CD-ROM. Please consult NARA staff about the format in which you want to receive data files.

The data files for the latest available quarter and fiscal year also are accessible by the public from the world wide web home page of the Governments Division of the Census Bureau. The site address is:

[http:// www.census.gov/govs/www/faads.html](http://www.census.gov/govs/www/faads.html)

Users have the option of downloading the entire quarterly file or subfiles for individual states and territories. Special requests, such as partial FAADS files, cannot be accommodated. Users, however, should contact the Federal Programs Branch (see page 1) for further information.

DATA CHARACTERISTICS

Record Contents

There are two types of FAADS records: action-by-action and county aggregate. Both types use the same record format containing 34 data element fields. Action-by-action records usually contain data in each field, except where the submitting agency was unable to provide those data. County aggregate records, on the other hand, will not contain data in all fields, since data for numerous individual actions are summarized into one record. Examples include activities such as entitlement payments made directly for individuals, or other large volume transactions, such as housing loans and flood insurance. When these transactions are aggregated at the county level, not all data elements are additive. Therefore, some data fields are blank. County aggregate records normally contain data in up to 20 fields; but some variance may occur because of differing agency practices. The FAADS record format, which follows, contains asterisks (*) for fields which do not apply to county aggregate reporting.

The records have been sorted by State and Territory (alphabetically by State, followed by the Territories). Within each State, action-by-action records appear before county aggregate records. Within these groupings, the records are sorted by Federal agency, CFDA program number, county and city name (action by action records only). All sorts are in alphanumeric ascending order, except Record Type, which is in descending order.

The output files sent to States contain data that pertain only to the receiving State. A complete data file covering all States and Territories can be purchased from the National Archives and Records Administration or the Bureau of the Census, or downloaded from the Census Bureau's web site.

Users with questions about processing quarterly FAADS files should contact the Federal Programs Branch (see page 1).

Diskette Specifications

The FAADS quarterly diskette contains only the data for the current quarter. The specifications are:

- Record length = up to 606 characters
- MS-DOS compatible
- 3 ½ inch diskettes only
- Fixed field ASCII data
- Packed by PKZIP software (to be UNZIPPED by user) or not packed (user specified)

The record layout, including field descriptions, begins on page 8. For users of FAADS files for prior years, a cross-walk of the character positions of each data field, is also included in Appendix C of this Users' Guide.

FY 1998 RECORD FORMAT

| Field No. | <u>Data Element</u> | <u>Data Type</u> | <u>Field Length</u> | <u>Record Position</u> |
|------------------|--|-------------------------|----------------------------|-------------------------------|
| 1 | <u>CFDA Program Number</u> Six-position program number from the latest edition of the CFDA (left justified, space filled). Field is seven positions to accommodate possible expansion in this number. If CFDA program number is not available, a pseudo-code is used. This consists of the first two positions of the agency's CFDA program numbering series, followed by AAA, AAB, etc. for each program being reported. Program titles are listed in field 30. | AN | 7 | 1-7 |
| 2 | <u>State Application Identifier (SAI Number)</u> If the program being reported is not subject to State review in accordance with Executive Order 12372 and no number is reported, "SAI EXEMPT" is entered. If the program is subject to State review under EO 12372 but for some reason the SAI is not available, "SAI NOT AVAILABLE" is entered (left justified). | AN | 20 | 8-27 |
| 3 | <u>Recipient Name</u> Left justified, space filled. For county aggregate records, will read "MULTIPLE RECIPIENTS." | AN | 45 | 28-72 |
| 4* | <u>Recipient City Code</u> Five position FIPS 55-3 place code. | AN | 5 | 73-77 |
| 5* | <u>Recipient City Name</u> Left justified, space filled. | AN | 21 | 78-98 |
| 6 | <u>Recipient County Code</u> Three-position numeric code for county from FIPS PUB 6-4. | AN | 3 | 99-101 |
| 7 | <u>Recipient County Name</u> Left justified, space filled. | AN | 21 | 102-122 |
| 8 | <u>Recipient State Code</u> Two-position numeric code for State or territory from FIPS PUB 5-1. The State name is provided in field 32. | AN | 2 | 123-124 |
| 9* | <u>Recipient Zip Code</u> Nine-position numeric U.S. Postal Service Zip code (left justified, space filled). The first five positions are required. The remaining four positions are reported, where available. | AN | 9 | 125-133 |

* Field will be blank in county aggregate reporting.

| Field No. | Data Element | Data Type | Field Length | Record Position |
|------------------|---|------------------|---------------------|------------------------|
| 10 | <u>Type of Recipient</u> Two-position numeric code which depicts the type of recipient or borrower. Government codes: 00 = State government 01 = county government 02 = city or township government 04 = special district government 05 = independent school district 06 = State controlled institution of higher education Nonprofit agencies: 11 = Indian tribe 12 = other nonprofit Private: 20 = private higher education 21 = individual 22 = profit organization 23 = small business 25 = all other | AN | 2 | 134-135 |
| 11* | <u>Type of Action</u> A = new assistance action B = continuation (funding in succeeding budget period which stemmed from prior agreement to fund - amount of the current action) C = revision (any change in Federal Government's financial obligation or contingent liability in existing assistance transaction - amount of the <u>change</u> in funding) D = funding adjustment to completed project. | AN | 1 | 136 |
| 12 | <u>Recipient Congressional District</u> Two-position numeric code for congressional district of the recipient. For a congressional district at large, a "00" is entered. For jurisdictions with a nonvoting delegate, a "98" is entered. For jurisdictions with no representative, the designation "99" is entered. Where a jurisdiction is included in more than one congressional district, a code "90" might be used when a more precise notation is not available. The district designations reflect the Congressional Districts of the 104th Congress. | AN | 2 | 137-138 |
| 13 | <u>Federal Agency/Organizational Unit Code</u> Four-position FIPS-95 agency/bureau sequencing numeric code. The agency name is provided in field 31. | AN | 4 | 139-142 |
| 14* | <u>Federal Award Identifier Number</u> The number reflects each Federal agency's unique coding system for identifying individual assistance awards. Entries are left justified, space filled. | | | |
| | a. Core number | AN | 16 | 143-158 |
| | b. Modification number | AN | 4 | 159-162 |

* Field will be blank in county aggregate reporting.

| Field No. | Data Element | Data Type | Field Length | Record Position |
|------------------|---|------------------|---------------------|------------------------|
| 15 | <u>Federal Funding Sign</u> Minus sign indicates decrease in Federal Government's obligation or contingent liability; if not a decrease, position is blank. | AN | 1 | 163 |
| 16 | <u>Federal Funding Amount</u> Right justified with leading zeros; in whole dollar amount. | N | 10 | 164-173 |
| 17 | <u>Non-Federal Funding Sign</u> Minus sign indicates decrease in all reported non-Federal funding; if not a decrease, position is blank. | AN | 1 | 174 |
| 18 | <u>Non-Federal Funding Amount</u> Right justified with leading zeros; in whole dollar amount. | N | 10 | 175-184 |
| 19 | <u>Total Funding Sign</u> Minus sign indicates decrease in total funding; if not a decrease, position is blank. | AN | 1 | 185 |
| 20 | <u>Total Funding Amount</u> Right justified with leading zeros; in whole dollar amount. | N | 11 | 186-196 |
| 21 | <u>Obligation/Action Date</u> Entered numeric as yyyymmdd . For county aggregate records, entry will be the last day of the quarter. | AN | 8 | 197-204 |
| 22* | <u>Starting Date</u> Entered numeric as yyyymmdd . | AN | 8 | 205-212 |
| 23* | <u>Ending Date</u> Entered numeric as yyyymmdd . | AN | 8 | 213-220 |
| 24 | <u>Type of Assistance Transaction</u> 02 = block grant (A) 03 = formula grant (A) 04 = project grant (B) 05 = cooperative agreement (B) 06 = direct payment for specified use, as a subsidy or other non-reimbursable direct financial aid (C) 07 = direct loan (E) 08 = guaranteed/insured loan (F) 09 = insurance (G) 10 = direct payment with unrestricted use (retirement, pension, veterans benefits, etc.) (D) 11 = other reimbursable, contingent, intangible, or indirect financial assistance | AN | 2 | 221-222 |

NOTE: The alphabetic designation following each entry above refers to the type of assistance in the *Catalog of Federal Domestic Assistance*.

* Field will be blank in county aggregate reporting.

| Field No. | Data Element | Data Type | Field Length | Record Position |
|------------------|--|------------------|---------------------|-------------------------------|
| 25 | <u>Record Type</u> 1 = county aggregate reporting 2 = action-by-action reporting | AN | 1 | 223 |
| 26 | <u>Correction/Late Indicator</u> C = correction to a record reported in a prior quarter (funding amount is the amount of change, if any). L = late reporting of an action occurring in a prior quarter. blank = current entry | AN | 1 | 224 |
| 27 | <u>Fiscal Year and Quarter Correction</u> Five position numeric designation of the fiscal year and quarter to which the corrected or late record applies. The format is yyyyq . Field is blank for current quarter records. | AN | 5 | 225-229 |
| 28 | <u>Principal Place of Performance Code</u> The entry is the numeric code for the principal place of performance --the project being funded. The field contains seven positions. <u>State:</u> The first two positions (230, 231) are the numeric FIPS code for the State, territory, or the District of Columbia. A "00" code followed by five asterisks (*) indicates a multi-State project. A "00" numeric code followed by "FORGN" in the next five positions indicates the place of performance is in a foreign country. <u>County or city:</u> Positions 232 through 236 indicate the principal county or city of performance (project location). If the project is Statewide, asterisks appear in positions 232 through 236. If the project is countywide, or in more than one county, the three character numeric FIPS code for the county, or county of principal performance, appears in positions 234-236, preceded by two asterisks. All FAADS transactions reported on a county aggregate basis are in the countywide format. If the principal place of performance is a particular city, the five-character numeric FIPS 55 place code is in positions 232-236. | AN | 7 | 230-236 |
| 29 | <u>Principal Place of Performance</u> The name of the State or territory, and county or city of performance, are indicated. Entries are left justified, space filled within each subfield. <u>State</u> <u>County or city</u> | AN AN | 50 25 25 | 237-286 237-261 262-286 |
| 30 | <u>CFDA Program Title</u> The title of the program under which the award is being funded, taken from the Catalog of Federal Domestic Assistance . Entry is left justified, space filled. | AN | 74 | 287-360 |

| Field No. | <u>Data Element</u> | <u>Data Type</u> | <u>Field Length</u> | <u>Record Position</u> |
|----------------------|---|-----------------------------|--------------------------------|-----------------------------------|
| 31 | <u>Federal Agency Name</u> The name of the agency making the award of financial assistance. Entry is left justified, space filled. | AN | 72 | 361-432 |
| 32 | <u>State Name</u> The name of the State or territory in which the recipient of the award is located. | AN | 25 | 433-457 |
| 33 | <u>Project Description</u> Left justified, space filled, | AN | 149 | 458-606 |

FAADS DATA QUALITY

Edit Checks

The Bureau of the Census performs a series of edit checks to try to ensure input requirements are met and data in certain fields are valid. There are edit checks for nearly every data element. All input records of each Federal agency's FAADS submission are edited. Copies of the edit specifications are available upon request.

Federal Agency Compliance

FAADS is dependent upon data supplied by Federal departments and agencies. Since agency operations are established primarily to meet their internal requirements, some agencies are not capable of reporting all requested data. Agencies were requested to submit waiver applications to OMB when specific reporting provisions could not be met. A summary of Federal agency compliance accompanies each FAADS report to assist the user in interpreting the data.

APPENDIX A

LIST OF SELECTED PARTICIPATING FEDERAL DEPARTMENTS AND AGENCIES FOR FISCAL YEAR 1998

Agriculture, Department of

Agricultural Marketing Service
Agricultural Research Service
Animal and Plant Health Inspection Service
Cooperative State Research, Education and Extension Service

Economic Research Service
Farm Service Agency
Federal Crop Insurance Corporation
Food and Consumer Service

Food Safety and Inspection Service
Foreign Agricultural Service
Forest Service
Grain Inspection, Packers and Stockyards Administration

National Agricultural Statistics Service
Natural Resources Conservation Service
Rural Business Cooperative Service
Rural Housing Service
Rural Utilities Service

Appalachian Regional Commission (only reporting programs for which
ARC obligates funds directly)

Barry M. Goldwater Scholarship and Excellence in Education Foundation

Commerce, Department of

International Trade Administration
National Institute of Standards and Technology
Minority Business Development Agency
National Oceanic and Atmospheric Administration
National Telecommunications and Information Administration
Technology Administration

Christopher Columbus Fellowship Foundation

Corporation for National and Community Service (formerly ACTION)

Defense, Department of

Education, Department of

Bilingual Education and Minority Language Affairs
Educational Research and Improvement
Elementary and Secondary Education
Postsecondary Education
Special Education and Rehabilitative Services
Vocational and Adult Education

Energy, Department of

Civilian Radioactive Waste Management
Energy Efficiency and Renewable Energy
Environment, Safety and Health
Energy Research
Environmental Management

Fossil Energy
Economic Impact and Diversity
Nuclear Energy
Science Education and Technical Information
Technology Partnerships and Economic Competitiveness

Environmental Protection Agency

Assistant Administrator for Administration and Resources Management
Assistant Administrator for Air and Radiation
Assistant Administrator for Enforcement
Assistant Administrator for International Activities
Assistant Administrator for Pesticides and Toxic Substances

Assistant Administrator for Policy Planning and Evaluation
Assistant Administrator for Research and Development
Assistant Administrator for Solid Waste and Emergency Response
Assistant Administrator for Water

Equal Employment Opportunity Commission**Federal Emergency Management Agency**

Federal Insurance Administration
Mitigation Directorate
Preparedness, Training and Exercises Directorate
Response and Recovery Directorate
U.S. Fire Administration

Federal Mediation and Conciliation Service**Harry S Truman Scholarship Foundation****Health and Human Services, Department of**

Administration for Children and Families
Health Care Financing Administration
Public Health Service
Centers for Disease Control and Prevention
Food and Drug Administration
Indian Health Service
National Institutes of Health
Substance Abuse and Mental Health Services Administration

Housing and Urban Development, Department of

Community Planning and Development
Federal Housing Commissioner
Lead-Based Paint Abatement and Poisoning Prevention
Policy Development and Research
Public and Indian Housing

Institute of Museum and Library Services

Interior, Department of the

Bureau of Indian Affairs
Bureau of Land Management
Bureau of Reclamation
U.S. Geological Survey

Minerals Management Service
National Park Service
Office of Surface Mining, Reclamation and Enforcement
U.S. Fish and Wildlife Service

James Madison Memorial Fellowship Foundation

Japan-United States Friendship Commission

Justice, Department of

Bureau of Justice Statistics
Federal Prison System
National Institute of Justice
Office of Justice Programs
Office of Juvenile Justice and Delinquency Prevention
Community Relations Service

Labor, Department of

Bureau of Labor Statistics
Employment Standards Administration
Employment and Training Administration
Mine Safety and Health Administration
Occupational Safety and Health Administration
Pension and Welfare Benefits Administration
Veterans' Employment and Training Service

Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation

National Aeronautics and Space Administration

National Archives and Records Administration

National Historical Publications and Records Commission

National Endowment for the Arts

National Endowment for the Humanities

National Science Foundation

Nuclear Regulatory Commission

Railroad Retirement Board

Small Business Administration

Smithsonian Institution

Social Security Administration

Transportation, Department of

Federal Aviation Administration
Federal Highway Administration
Federal Railroad Administration
Federal Transit Administration

Maritime Administration
National Highway Traffic Safety Administration
Research and Special Programs Administration
United States Coast Guard

Treasury, Department of the

Internal Revenue Service

United States Information Agency

United States Institute of Peace

Veterans Affairs, Department of

Veterans Benefits Administration
Veterans Health Administration

Nonparticipating Agencies

Federal Council on the Arts and the Humanities
National Credit Union Administration
Overseas Private Investment Corporation
Pension Benefit Guaranty Corporation
State, Department of

**APPENDIX B:
FIPS-55 CODES FOR THE CITY AND COUNTY OF EACH STATE CAPITAL**

| State | | Name | County | | City | |
|--------|------|--------------------------|--------|----------------------|-------|------------------|
| Abbrev | Code | | Code | Name | Code | Name |
| AL | 01 | Alabama | 101 | Montgomery | 51000 | Montgomery |
| AK | 02 | Alaska | 110 | Juneau | 36400 | Juneau |
| AZ | 04 | Arizona | 013 | Maricopa | 55000 | Phoenix |
| AR | 05 | Arkansas | 119 | Pulaski | 41000 | Little Rock |
| CA | 06 | California | 067 | Sacramento | 64000 | Sacramento |
| CO | 08 | Colorado | 031 | Denver | 20000 | Denver |
| CT | 09 | Connecticut | 003 | Hartford | 37000 | Hartford |
| DE | 10 | Delaware | 001 | Kent | 21200 | Dover |
| DC | 11 | District of Columbia | 001 | District of Columbia | 50000 | Washington |
| FL | 12 | Florida | 073 | Leon | 70600 | Tallahassee |
| GA | 13 | Georgia | 121 | Fulton | 04000 | Atlanta |
| HI | 15 | Hawaii | 003 | Honolulu | 17000 | Honolulu |
| ID | 16 | Idaho | 001 | Ada | 08830 | Boise |
| IL | 17 | Illinois | 167 | Sangamon | 72000 | Springfield |
| IN | 18 | Indiana | 097 | Marion | 36000 | Indianapolis |
| IA | 19 | Iowa | 153 | Polk | 21000 | Des Moines |
| KS | 20 | Kansas | 177 | Shawnee | 71000 | Topeka |
| KY | 21 | Kentucky | 073 | Franklin | 28900 | Frankfort |
| LA | 22 | Louisiana | 033 | East Baton Rouge | 05000 | Baton Rouge |
| ME | 23 | Maine | 011 | Kennebec | 02100 | Augusta |
| MD | 24 | Maryland | 003 | Anne Arundel | 01600 | Annapolis |
| MA | 25 | Massachusetts | 025 | Suffolk | 07000 | Boston |
| MI | 26 | Michigan | 065 | Ingham | 46000 | Lansing |
| MN | 27 | Minnesota | 123 | Ramsey | 58000 | St. Paul |
| MS | 28 | Mississippi | 049 | Hinds | 36000 | Jackson |
| MO | 29 | Missouri | 051 | Cole | 37000 | Jefferson City |
| MT | 30 | Montana | 049 | Lewis and Clark | 35600 | Helena |
| NE | 31 | Nebraska | 109 | Lancaster | 28000 | Lincoln |
| NV | 32 | Nevada | 510 | Carson City | 09700 | Carson City |
| NH | 33 | New Hampshire | 013 | Merrimack | 14200 | Concord |
| NJ | 34 | New Jersey | 021 | Mercer | 74000 | Trenton |
| NM | 35 | New Mexico | 049 | Santa Fe | 70500 | Santa Fe |
| NY | 36 | New York | 001 | Albany | 01000 | Albany |
| NC | 37 | North Carolina | 183 | Wake | 55000 | Raleigh |
| ND | 38 | North Dakota | 015 | Burleigh | 07200 | Bismarck |
| OH | 39 | Ohio | 049 | Franklin | 18000 | Columbus |
| OK | 40 | Oklahoma | 109 | Oklahoma | 55000 | Oklahoma City |
| OR | 41 | Oregon | 047 | Marion | 64900 | Salem |
| PA | 42 | Pennsylvania | 043 | Dauphin | 32800 | Harrisburg |
| RI | 44 | Rhode Island | 007 | Providence | 59000 | Providence |
| SC | 45 | South Carolina | 079 | Richland | 16000 | Columbia |
| SD | 46 | South Dakota | 065 | Hughes | 49600 | Pierre |
| TN | 47 | Tennessee | 037 | Davidson | 52004 | Nashville |
| TX | 48 | Texas | 453 | Travis | 05000 | Austin |
| UT | 49 | Utah | 035 | Salt Lake | 67000 | Salt Lake City |
| VT | 50 | Vermont | 023 | Washington | 46000 | Montpelier |
| VA | 51 | Virginia | 760 | Richmond City | 67000 | Richmond |
| WA | 53 | Washington | 067 | Thurston | 51300 | Olympia |
| WV | 54 | West Virginia | 039 | Kanawha | 14600 | Charleston |
| WI | 55 | Wisconsin | 025 | Dane | 48000 | Madison |
| WY | 56 | Wyoming | 021 | Laramie | 13900 | Cheyenne |
| AS | 60 | American Samoa | 010 | Eastern | 62500 | Pago Pago |
| FM | 64 | Micronesia | 040 | Ponape | 26500 | Kolonia |
| GU | 66 | Guam | 010 | Guam | 02700 | Agana |
| MH | 68 | Marshall Islands | 190 | Majuro | 37250 | Majuro |
| MP | 69 | Northern Mariana Islands | 110 | Saipan | 99110 | Saipan |
| PW | 70 | Palau | 150 | Koror | 27500 | Koror |
| PR | 72 | Puerto Rico | 127 | San Juan | 76770 | San Juan |
| VI | 78 | Virgin Islands | 030 | St. Thomas | 16300 | Charlotte Amalie |

APPENDIX C: Cross-Walk of the Location of the Data Fields In the Pre-1998 and 1998 Record Layouts

| <i>Data Element</i> | <i>Pre-1998</i> | | <i>1998</i> | |
|---|----------------------------|-------------------------------|----------------------------|-------------------------------|
| | <i>Character Count</i> | <i>Character Position</i> | <i>Character Count</i> | <i>Character Position</i> |
| CFDA Program Number | 7 | 1-7 | 7 | 1-7 |
| State Application Identifier (SAI Number) | 20 | 8-27 | 20 | 8-27 |
| Recipient Name | 45 | 28-72 | 45 | 28-72 |
| Recipient City Code | 5 | 73-77 | 5 | 73-77 |
| Recipient City Name | 21 | 78-98 | 21 | 78-98 |
| Recipient County Code | 3 | 99-101 | 3 | 99-101 |
| Recipient County Name | 21 | 102-122 | 21 | 102-122 |
| Recipient State Code | 2 | 123-124 | 2 | 123-124 |
| Recipient Zip Code | 9 | 125-133 | 9 | 125-133 |
| Type of Recipient | 2 | 283-284 | 2 | 134-135 |
| Type of Action | 1 | 285 | 1 | 136 |
| Recipient Congressional District | 2 | 286-287 | 2 | 137-138 |
| Federal Agency/Organizational Unit Code | 4 | 288-291 | 4 | 139-142 |
| Federal Award Identifier Number | 20 | 292-311 | 20 | 143-162 |
| Federal Funding Sign | 1 | 312 | 1 | 163 |
| Federal Funding Amount | 10 | 313-322 | 10 | 164-173 |
| Non-Federal Funding Sign | 1 | 323 | 1 | 174 |
| Non-Federal Funding Amount | 10 | 324-333 | 10 | 175-184 |

APPENDIX C: Cross-Walk of the Location of the Data Fields in the Pre-1998 and 1998 Record Layouts - Continued

| <i>Data Element</i> | <i>Pre-1998</i> | | <i>1998</i> | |
|---|----------------------------|-------------------------------|----------------------------|-------------------------------|
| | <i>Character Count</i> | <i>Character Position</i> | <i>Character Count</i> | <i>Character Position</i> |
| Total Funding Sign | 1 | 334 | 1 | 185 |
| Total Funding Amount | 11 | 335-345 | 11 | 186-196 |
| Obligation/Action Date | 6 | 346-351 | 8 | 197-204 |
| Starting Date | 6 | 352-357 | 8 | 205-212 |
| Ending Date | 6 | 358-363 | 8 | 213-220 |
| Type of Assistance Code | 2 | 364-365 | 2 | 221-222 |
| Record Type | 1 | 366 | 1 | 223 |
| Correction/Late Indicator | 1 | 367 | 1 | 224 |
| Fiscal Year & Quarter of Correction or Late Record | 3 | 368-370 | 5 | 225-229 |
| Principal Place of Performance Code | 7 | 371-377 | 7 | 230-236 |
| Principal Place of Performance Name | 50 | 378-427 | 50 | 237-286 |
| CFDA Program Title | 74 | 428-501 | 74 | 287-360 |
| Federal Agency Name | 72 | 502- 573 | 72 | 361-432 |
| State Name | 25 | 574-598 | 25 | 433-457 |
| Project Description | 149 | 134-282 | 149 | 458-606 |